Responsible Jewellery Council

CHAIN-OF-CUSTODY CERTIFICATION

BY THE AUTHORITY OF THE COUNCIL

Valyon

(Formerly SFNI)

IS CHAIN-OF-CUSTODY CERTIFIED BY THE

Responsible Jewellery Council

CERTIFICATION NUMBER: C0000 0069
CERTIFIED UNTIL: 18 JANUARY 2021
TYPES OF ELIGIBLE MATERIALS HANDLED: GOLD, PLATINUM
ELIGIBLE MATERIAL DECLARATIONS ISSUED: RECYCLED GOLD, GRANDFATHERED GOLD, MIX OF MINED/RECYCLED/GRANDFATHERED GOLD, RECYCLED PLATINUM

WILFRIED HOERNER
Chairman
Responsible Jewellery Council

ANDREW BONE
Executive Director
Responsible Jewellery Council

RJC CERTIFICATION INFORMATION – CHAIN-OF-CUSTODY

Summary

Responsible Jewellery Council

CoC Certified Entity	Valyon
Certification Number for this Certification	C0000 0069
Certification Period	18 January 2018 – 18 January 2021
Audit Date	8 August 2017
Audit Type	First Certification
Surveillance Audit Due:	18 January 2020
Accredited Auditor	SGS United Kingdom Limited **Lead Auditor:** Anne-Lise Legrand
Entity Certification Scope	Valyon, Brignais, France - Headquarters.
Types of Elgible Materials Handled	Gold, Platinum
Eligible Material Declarations Issued	Recycled Gold, Grandfathered Gold, Mix of Mined/Recycled/Grandfathered Gold, Recycled Platinum

Changes during the Certification Period	Valyon has asked French Environmental Authorities ("DREAL") the right to install and use a refining workshop in his facility. This will take long and will not be effective before at least 12 months. For now, Valyon is only doing manufacturing, trading and retailing. It has to be noted that Valyon wishes to extend its COC scope to refining by the time of Surveillance Audit.
Applicable Provisions	**CoC Management:** 1-3 **Systems to Confirm Eligibility of Material:** 4-6, except 4 **Issuing CoC Documentation:** 7-9, except 7.2 and 9.1-2 **Conflict-Sensitive Sourcing:** 10, except 10.4
Auditor Statement of Conformance	**Based on the scope and findings of the Audit, the sites visited and the available information provided by the Member:** ✓ The Member has demonstrated a conformance level consistent with a 3 year Certification Period.
Confirmation	**The Auditors confirm that:** ✓ The information provided by the Member is true and accurate to the best knowledge of the Auditor(s) preparing this report. ✓ The findings are based on verified Objective Evidence relevant to the time period for the assessment, traceable and unambiguous. ✓ The scope of the assessment and the method used are sufficient to establish confidence that the findings are indicative of the performance of the Member's defined Certification Scope. ✓ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
Auditor Signature	On file
RJC Member which controls Entity	Valyon

CoC Certification Scope

CoC Certification is open to RJC Members and/or Entities under their Control. The CoC Certification Scope is defined by the Member/Entity seeking CoC Certification and must include:

- All Facilities under the Control of the Member that the Member/Entity intends to use for the extraction, processing, manufacturing, storage, handling, shipping and receiving, and marketing of CoC Material; and
- All Outsourcing Contractors that the Member/Entity intends to use for the processing and manufacturing of CoC Material; and
- The types of CoC Material (Gold, and/or Platinum Group Metals) to be included in the Certification Scope; and
- The types of Eligible Material (Material in the form of Mined, Recycled and/or Grandfathered), if any, for which the Member/Entity intends to issue Eligible Material Declarations.

Steps in the Certification Process

1 SELF ASSESSMENT

- Conducted by the Member in accordance with the Certification Scope
- Preparation for Certification Audit by independent third party RJC Accredited Auditors.

2 CERTIFICATION AUDIT

- Conducted by independent third party RJC Accredited Auditors
- Selects a representative sample to review from
- Certification Scope Evidence based assessment of conformance

3 REPORTING

- Auditor prepares Audit Report including Statement of Conformance for the RJC
- Member implements corrective action plan/s, where required.

4 CERTIFICATION DECISION

- RJC reviews Audit Report for clarity and completeness , follow up where required.
- RJC grants Certification based on Auditors' Report
- Certification details posted on website
- Member can use RJC Certification Logo

5 PERIODIC REVIEWS

- Mid-term review, may be required.
- Re-certification audit is required at end of certification period.
- Conducted to confirm continuing conformance and to address changes.

Audit Methodology

The RJC standards documentation is comprehensive and includes an auditable standard (the Chain-of-Custody standard), guidance, handbook for certification and assessment tools for businesses and auditors. These can be downloaded at:

http://www.responsiblejewellery.com/chain-of-custody-certification/

The audit process is a management systems audit aligned with ISO19011. Auditors use the triangulation method of management and employee interviews, documentation review and facility observations to verify an Entity's performance against the applicable standards.

Following Certification, a Surveillance Audit is conducted by the RJC Accredited Auditor to monitoring a sample of CoC Provisions to provide assurance that the Entity continues to conform with the RJC CoC Standard. The sample of Provisions is selected by the Auditor and covers areas of greatest risk of non-conformance as determined during the previous Audit.

For the first Self Assessment or Certification Audit, evidence includes records from the previous 12 months. Sampling during a Surveillance Audit or a Re-Certification Audit includes records since the last audit.

RJC Complaints Mechanism

The RJC aims to ensure the fair, timely and objective resolution of complaints relating to potential non-conformance with RJC Certification or RJC's own policies and procedures. Where complaints arise, it is a condition of participation in RJC activities for RJC Members and Accredited Auditors to submit to the RJC Complaints Mechanism and to be bound by the decisions of the RJC. However this does replace or limit access to judicial remedies.

Full documentation supporting the RJC Complaints Mechanism can be downloaded from:

http://www.responsiblejewellery.com/contact-us/rjc-complaints-mechanism/

Disclaimer

No guarantee, warranty or representation is made as to the accuracy or completeness of this information. Compliance with RJC's standards and procedures is neither intended to, nor does it create, establish, or recognise any legally enforceable obligations or rights of, or against, the RJC and/or its Members or signatories.