



BY THE AUTHORITY OF THE COUNCIL

# *Bauer-Walser AG*

IS CHAIN-OF-CUSTODY (VERSION 2017) CERTIFIED BY THE  
*Responsible Jewellery Council*

CERTIFICATION NUMBER: C0000 0146

CERTIFIED UNTIL: 1 DECEMBER 2022

TYPES OF MATERIAL IN SCOPE: GOLD, PLATINUM, PALLADIUM AND/OR RHODIUM

ELIGIBLE MATERIAL DECLARATIONS IN SCOPE: RECYCLED GOLD, RECYCLE PLATINUM,  
RECYLED PALLADIUM AND/OR RHODIUM

A handwritten signature in black ink, reading "David Bouffard".

DAVID BOUFFARD

*Chairman*

*Responsible Jewellery Council*

A handwritten signature in black ink, reading "Iris van der Veken".

IRIS VAN DER VEKEN

*Executive Director*

*Responsible Jewellery Council*

# RJC CERTIFICATION INFORMATION CHAIN-OF-CUSTODY (2017 VERSION)

## Summary



Responsible  
Jewellery  
Council

<i>CoC certified entity</i>	Bauer-Walser AG
<i>Certification number</i>	C0000 0146
<i>Certification period</i>	1 December 2019 - 1 December 2022
<i>Audit date</i>	1-2, 7-8 October 2019
<i>Audit type</i>	Re-certification
<i>Surveillance audit due</i>	1 December 2021
<i>Accredited auditor</i>	SGS United Kingdom Limited <b>Lead Auditor:</b> Karl Daumüller
<i>Entity certification scope</i>	Bauer-Walser AG, Kelttern, Germany - precious metal melting, analysing and assaying; Hanauer Schmuckhalbzeug GmbH, Hanau, Germany - precious metal trading office; Geschwister Scheytt GmbH, München, Germany - precious metal trading office; outsourced contractor, Pforzheim, Germany - incineration; outsourced contractor, Leipzig, Germany - mercury extraction.
<i>Types of material in scope</i>	Gold, Platinum, Palladium and/or Rhodium
<i>Eligible material declarations in scope</i>	Recycled gold, recycled platinum, recycled palladium and/or rhodium

*Changes during the certification period*

Not applicable

*Applicable provisions*

**Due diligence and KYC for responsible sourcing:** 1-2, except 1.3

**Chain-of-custody management:** 3-5

**Systems to confirm eligibility of materials:** 6-8, except 6 and 8

**Issuing chain-of-custody documentation:** 9-11, except 9.2

*Auditor statement of conformance*

**Based on the scope and findings of the audit, the sites visited and the available information provided by the member:**



The member has demonstrated a conformance level consistent with a 3 year certification period.

*Confirmation*

**The auditors confirm that:**



The information provided by the member is true and accurate to the best knowledge of the auditor(s) preparing this report.



The findings are based on verified objective evidence relevant to the time period for the assessment, traceable and unambiguous.



The scope of the assessment and the method used are sufficient to establish confidence that the findings are indicative of the performance of the Member's defined certification scope.



The auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

*Auditor signature*

On file

*RJC member which controls entity:*

Bauer-Walser AG

## *Chain-of-Custody Certification*

---

This certification is issued in accordance with RJC's Assessment Manual. The standard, supporting documentation, and assessment manual, can be downloaded at: [www.responsiblejewellery.com/chain-of-custody-certification/chain-of-custody-certification-2017/](http://www.responsiblejewellery.com/chain-of-custody-certification/chain-of-custody-certification-2017/)

This certificate can be authenticated by visiting: [www.responsiblejewellery.com/members/chain-of-custody-certified-entities/](http://www.responsiblejewellery.com/members/chain-of-custody-certified-entities/)

If you have any questions about RJC certifications, please contact: [certification@responsiblejewellery.com](mailto:certification@responsiblejewellery.com)

The RJC aims to ensure the fair, timely and objective resolution of complaints relating to potential non-conformance with RJC certification or policies and procedures. The complaints mechanism and contact details can be accessed downloaded from: [www.responsiblejewellery.com/contact-us/rjc-complaints-mechanism/](http://www.responsiblejewellery.com/contact-us/rjc-complaints-mechanism/)

### **Disclaimer**

No guarantee, warranty or representation is made by the RJC as to the accuracy or completeness of the information in this CoC certificate, and no advice or information, whether oral or written, obtained from the RJC or elsewhere, will create any warranty, guarantee or representation in respect of this CoC certificate. Compliance with RJC's standards and procedures is neither intended to, nor does it create, establish, or recognise any legally enforceable obligations or rights of, or against, the RJC and/or its members or signatories. To the fullest extent permitted by law, the RJC does not accept or assume responsibility to anyone for the audit process, for the member, for the entity, for the auditor, for CoC certification, or for the decision to grant CoC certification.