



RESPONSIBLE
JEWELLERY
COUNCIL

LEAD WITH PURPOSE . INSPIRE WITH TRUST
CONTRIBUTE TO DEVELOPMENT

BY THE AUTHORITY OF THE COUNCIL

Minera Chalhuane S.A.C

IS A CERTIFIED MEMBER OF
THE RESPONSIBLE JEWELLERY COUNCIL

NUMBER: 0000 3449
DURATION: 3 Year
PERIOD: 09 June 2021 - 09 June 2024
STANDARD: Code of Practices 2019

DAVID BOUFFARD
CHAIRMAN

IRIS VAN DER VEKEN
EXECUTIVE DIRECTOR



CERTIFICATION OVERVIEW

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|-----------------------|--|
| MEMBERSHIP FORUM | Diamonds, Coloured Gemstones and Precious Metals Miner |
| AUDIT DATE | 19 February 2021 |
| AUDIT TYPE | First Certification |
| APPLICABLE STANDARD | Code of Practices 2019 |
| ACCREDITED AUDIT FIRM | SGS United Kingdom Limited |
| APPLICABLE PROVISIONS | <ol style="list-style-type: none">1. General requirements: 1-42. Responsible supply chains, human rights and due diligence: 5-14, except 7.1b, 7.3, 8, 9, 13.4, 14.2-33. Labour rights and working conditions: 15-224. Health, Safety and Environment: 23-27, except 255. Gold, Silver, PGM, diamond and coloured gemstone products: 28-30, except 28.2b-j, 28.3, 29, 306. Responsible mining: 31-42, except 33, 35, 36, 40, 41 |

PROVENANCE
CLAIMS

Not Applicable.

AUDITOR STATEMENT OF
CONFORMANCE

Based on the scope and findings of the certification audit, the member has demonstrated a conformance level consistent with a:

3 Year Certification

NEXT AUDIT TYPE

MID-TERM REVIEW (within 12-24 months):

- A. Mid-term review NOT required due to:**
- No anticipated changes to the certification scope during the certification period
 - <25 full time equivalent personnel
 - Low risk of non-conformances due to management controls
 - Existence of parallel audits for schemes recognised by RJC
 - Existence of rigorous internal audit programs
 - Strong internal controls ensuring effective review and closure of corrective actions for non-conformances

- B. Mid-term review (desktop only) required due to:**
- No impact on conformance levels from any changes to the certification scope
 - 2 or less minor non-conformances with critical provisions or 4 or less minor non-conformances in general identified by the audit (not covered by parallel audits for schemes identified as equivalent to RJC's) for an individual member in the mining sector or a non-mining sector member
 - Possibility of remote verification of actions
 - No risk to critical provisions

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- C. Mid-term review (with a site visit) required due to:**
 - Known and anticipated changes to the certification scope during the certification period
 - Member no longer supporting external standards and initiatives recognised by RJC
 - 3 or more minor non-conformances with critical provisions or 5 or more minor non-conformances not covered by parallel audits for schemes identified as equivalent to RJC's for any non-mining sector member or individual mining facility, if the member is in the mining sector
 - New systems and controls for critical provisions that could not be tested at the certification audit
 - Facilities with risk of non-conformances with critical provisions
 - Other reasons presented by the lead auditor necessitating an onsite verification

CERTIFICATION SCOPE

Business name of entity/facility
MINERA CHALHUANE S.A.C.
MINERA LA SOLEDAD S.A.C.
Arequipa office
Lima Headquarters

Location (City and Country)
Arequipa, Peru
Arequipa, Peru
Arequipa city, Peru
Lima, Peru

Business Activity
Mining (extraction of gold and silver)
Mining (extraction of gold and silver)
Management (legal)
Administrative office

ABOUT THIS CERTIFICATION

This certification is issued by the Responsible Jewellery Council in accordance with its standards and supporting documentation, available on the [RJC website](#).

This certificate can be authenticated on the [RJC website](#). Please contact certification@responsiblejewellery.com with any questions.

The RJC aims to ensure the fair, timely and objective resolution of complaints relating to RJC certification. The complaints mechanism and contact details can be accessed on the [RJC website](#).

DISCLAIMER

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