



RESPONSIBLE
JEWELLERY
COUNCIL

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CONTRIBUTE TO DEVELOPMENT

BY THE AUTHORITY OF THE COUNCIL

Diavik Diamond Mines (2012) Inc (Rio Tinto Subsidiary)

IS A CERTIFIED MEMBER OF
THE RESPONSIBLE JEWELLERY COUNCIL

NUMBER: 0000 3811
DURATION: 3 Year
PERIOD: 19 June 2021 - 19 June 2024
STANDARD: Code of Practices 2019

DAVID BOUFFARD
CHAIRMAN

MELANIE GRANT
EXECUTIVE DIRECTOR



CERTIFICATION OVERVIEW

MEMBERSHIP FORUM	Diamonds, Coloured Gemstones and Precious Metals Miner
AUDIT DATE	30 January 2023
AUDIT TYPE	Mid-term Review (desktop)
APPLICABLE STANDARD	Code of Practices 2019
ACCREDITED AUDIT FIRM	SGS United Kingdom Limited
APPLICABLE PROVISIONS	<ol style="list-style-type: none">1. General requirements: 1-42. Responsible supply chains, human rights and due diligence: 5-14, except 7.1, 7.3, 8, 9, 11.3, 13.4, 14.33. Labour rights and working conditions: 15-22, except 19.3, 21.34. Health, Safety and Environment: 23-27, except 23.105. Gold, Silver, PGM, diamond and coloured gemstone products: 28-30, except 28.2a-j, 306. Responsible mining: 31-42, except

PROVENANCE
CLAIMS

The diamonds contained in this package were mined in the Northwest Territories, Canada at Diavik Diamond Mines Inc.

AUDITOR STATEMENT OF
CONFORMANCE

Based on the scope and findings of the certification audit, the member has demonstrated a conformance level consistent with a:

3 Year Certification

NEXT AUDIT TYPE

MID-TERM REVIEW (within 12-24 months):

- A. Mid-term review NOT required due to:**
 - No anticipated changes to the certification scope during the certification period
 - <25 full time equivalent personnel
 - Low risk of non-conformances due to management controls
 - Existence of parallel audits for schemes recognised by RJC
 - Existence of rigorous internal audit programs
 - Strong internal controls ensuring effective review and closure of corrective actions for non-conformances
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- B. Mid-term review (desktop only) required due to:**
 - No impact on conformance levels from any changes to the certification scope
 - 2 or less minor non-conformances with critical provisions or 4 or less minor non-conformances in general identified by the audit (not covered by parallel audits for schemes identified as equivalent to RJC's) for an individual member in the mining sector or a non-mining sector member
 - Possibility of remote verification of actions
 - No risk to critical provisions
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- C. Mid-term review (with a site visit) required due to:**
 - Known and anticipated changes to the certification scope during the certification period
 - Member no longer supporting external standards and initiatives recognised by RJC
 - 3 or more minor non-conformances with critical provisions or 5 or more minor non-conformances not covered by parallel audits for schemes identified as equivalent to RJC's for any non-mining sector member or individual mining facility, if the member is in the mining sector
 - New systems and controls for critical provisions that could not be tested at the certification audit
 - Facilities with risk of non-conformances with critical provisions
 - Other reasons presented by the lead auditor necessitating an onsite verification

CERTIFICATION SCOPE

Business name of entity/facility
Diavik Diamond Mines Inc (DDMI)

Location (City and Country)
Yellowknife, Canada

Business Activity
Mining, cleaning, sorting and dispatching rough diamonds

ABOUT THIS CERTIFICATION

This certification is issued by the Responsible Jewellery Council in accordance with its standards and supporting documentation, available on the [RJC website](#).

This certificate can be authenticated on the [RJC website](#). Please contact certification@responsiblejewellery.com with any questions.

The RJC aims to ensure the fair, timely and objective resolution of complaints relating to RJC certification. The complaints mechanism and contact details can be accessed on the [RJC website](#).

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