



RESPONSIBLE
JEWELLERY
COUNCIL

LEAD WITH PURPOSE . INSPIRE WITH TRUST
CONTRIBUTE TO DEVELOPMENT

BY THE AUTHORITY OF THE COUNCIL

De Beers Group

IS A CERTIFIED MEMBER OF
THE RESPONSIBLE JEWELLERY COUNCIL

NUMBER: 0000 3828
DURATION: 3 Year
PERIOD: 14 October 2021 - 14 October 2024
STANDARD: Code of Practices 2019

DAVID BOUFFARD
CHAIRMAN

IRIS VAN DER VEKEN
EXECUTIVE DIRECTOR



CERTIFICATION OVERVIEW

| | |
|--------------------------|---|
| MEMBERSHIP FORUM | Diamonds, Coloured Gemstones and Precious Metals Miner |
| AUDIT DATE | 29 September 2021 |
| AUDIT TYPE | Re-certification |
| APPLICABLE STANDARD | Code of Practices 2019 |
| ACCREDITED AUDIT FIRM | SGS United Kingdom Limited |
| APPLICABLE PROVISIONS | <ol style="list-style-type: none">1. General requirements: 1-42. Responsible supply chains, human rights and due diligence: 5-14, except 7.3, 93. Labour rights and working conditions: 15-22, except 19.34. Health, Safety and Environment: 23-27, except 23.105. Gold, Silver, PGM, diamond and coloured gemstone products: 28-30, except 28.2i6. Responsible mining: 31-42, except 35, 40, 41 |

PROVENANCE CLAIMS

De Beers Global Sightholder Sales, Namibia Diamond Trading Company and De Beers Sightholder Sales South Africa only sell rough diamonds that originate from De Beers' managed and joint venture operations in Botswana, Canada, Namibia and South Africa from mines that are certified against the Responsible Jewellery Council standards and compliant with the Best Practice Principles.

Rough Diamonds sold by De Beers Auction Sales are sourced either from De Beers' managed and joint venture operations or approved third party producers/suppliers in accordance with the De Beers Responsible and Ethical Sourcing Policy.

A Forevermark diamond is sourced only from a handful of carefully selected mines, ensuring that every diamond bearing the Forevermark inscription is responsibly sourced. The Forevermark responsible sourcing standards apply throughout the entire journey of a Forevermark diamond and include a track and trace process through the bespoke Forevermark Pipeline Integrity Standard, to ensure Forevermark diamonds are responsibly manufactured. Diamonds with the De Beers Code of Origin originate from De Beers' managed and joint venture mines in Botswana, Canada, Namibia and South Africa.

AUDITOR STATEMENT OF CONFORMANCE

Based on the scope and findings of the certification audit, the member has demonstrated a conformance level consistent with a:

3 Year Certification

NEXT AUDIT TYPE

MID-TERM REVIEW (within 12-24 months):

- A. Mid-term review NOT required due to:**
- No anticipated changes to the certification scope during the certification period
- 3 or less minor non-conformances with critical provisions or in general identified by the audit (not covered by parallel audits for schemes identified as equivalent to RJC's) for an individual member in the mining sector or a non-mining sector member
- <25 full time equivalent personnel
- Low risk of non-conformances due to management controls
- Existence of parallel audits for schemes recognised by RJC
- Strong internal controls ensuring effective review and closure of corrective actions for non-conformances

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- B. Mid-term review (desktop only) required due to:**
 - No impact on conformance levels from any changes to the certification scope
 - 5 or less minor non-conformances with critical provisions or in general identified by the audit (not covered by parallel audits for schemes identified as equivalent to RJC's) for an individual member in the mining sector or a non-mining sector member
 - Possibility of remote verification of actions
 - No risk to critical provisions

- C. Mid-term review (with a site visit) required due to:
- Known and anticipated changes to the certification scope during certification period
- Member no longer supporting external standards and initiative recognised by RJC
- 6 or more minor non-conformances with critical provisions or general identified by the audit (not covered by parallel audits of schemes identified as equivalent to RJC's) for an individual member in the mining sector or a non-mining sector member
- New systems and controls for critical provisions that could not be tested at the certification audit
- Need to test new systems and controls ensuring respect for critical provisions
- Facilities with risk of non-conformances with critical provisions
- Other reasons presented by the lead auditor necessitating an additional verification

CERTIFICATION SCOPE

| <u>Business name of entity/facility</u> | <u>Location (City and Country)</u> | <u>Business Activity</u> |
|--|---|--|
| De Beers - Namdeb Diamond Corporation (Pty) Ltd De Beers UK Limited | Windhoek, Oranjemund, Namibia Kimberley, South Africa; London, Maidenhead, UK; Windhoek, Namibia; Gaborone, Botswana; Surat, India | Exploration, Mining and Rehabilitation operations Diamond Rough Sales/Buying Office, CHQ, Grading, research (diamonds), administrative, finance offices |
| Global De Beers Auction Sales | Hong Kong; Antwerp, Belgium; Ramat Gan, Israel; Singapore | Diamond Rough Sales/Buying Office |
| De Beers Global Sightholder Sales (Pty) Ltd De Beers Marine Namibia (Pty) Ltd De Beers Jewellers | Dubai, UAE; Gaborone, Botswana Windhoek, Namibia Worldwide | Trading and Marketing Marine Mining Operations CHQ, Jewellery retail, Financial, administrative offices |
| De Beers Canada Inc. | Toronto, Ontario, Calgary, Alberta, Yellowknife, Canada | Mining |
| De Beers - Debswana Diamond Company (Pty) Ltd | Gaborone, Jwaneng, Orapa, Letlhakane, Damtshaa, Botswana | Mining |
| De Beers Consolidated Mines - CHQ De Beers Group Exploration - RSA - Johannesburg | Johannesburg, Musina, South Africa Johannesburg, South Africa; Gaborone, Botswana; Mumbai, India; Luanda, Angola | Mining Exploration, financial and administration offices |
| De Beers - Forevermark | Global | Jewellery retail stores, grading service, stone setting |
| De Beers Group Services: Global Mining De Beers Group Services: General | Cape Town, South Africa Johannesburg, Port Nolloth, Cape Town, Germiston, South Africa | CHQ, Exploration Holding Companies |
| De Beers Plc Gemfair | Jersey, USA; Gaborone, Botswana Freetown, Sierra Leone; London, UK | Holding Rough Sales/Buying Office, CHQ, Financial, administrative offices (diamonds) |

ABOUT THIS CERTIFICATION

This certification is issued by the Responsible Jewellery Council in accordance with its standards and supporting documentation, available on the [RJC website](#).

This certificate can be authenticated on the [RJC website](#). Please contact certification@responsiblejewellery.com with any questions.

The RJC aims to ensure the fair, timely and objective resolution of complaints relating to RJC certification. The complaints mechanism and contact details can be accessed on the [RJC website](#).

DISCLAIMER

No guarantee, warranty or representation is made by the RJC as to the accuracy or completeness of the information in this COP certificate, and no advice or information, whether oral or written, obtained from the RJC or elsewhere, will create any warranty, guarantee or representation in respect of this COP certificate. Compliance with RJC's standards and procedures is neither intended to, nor does it create, establish, or recognise any legally enforceable obligations or rights of, or against, the RJC and/or its members or signatories. To the fullest extent permitted by law, the RJC does not accept or assume responsibility to anyone for the audit process, for the member, for the entity, for the auditor, for COP certification, or for the decision to grant COP certification.

The Responsible Jewellery Council is the trading name of the Council for Responsible Jewellery Practices Ltd. The Council for Responsible Jewellery Practices Ltd, Quality House, 5-9 Quality Court, London, WC2A 1HP, UK. The Council for Responsible Jewellery Practices Ltd is registered in England and Wales with company number 05449042.