



RESPONSIBLE
JEWELLERY
COUNCIL

LEAD WITH PURPOSE . INSPIRE WITH TRUST
CONTRIBUTE TO DEVELOPMENT

BY THE AUTHORITY OF THE COUNCIL

De Beers Group

IS A CERTIFIED MEMBER OF
THE RESPONSIBLE JEWELLERY COUNCIL

NUMBER: 0000 3828
DURATION: 3 Year
PERIOD: 14 October 2021 - 14 October 2024
STANDARD: Code of Practices 2019

DAVID BOUFFARD
CHAIRMAN

JOHN HALL
INTERIM EXECUTIVE DIRECTOR



CERTIFICATION OVERVIEW

MEMBERSHIP FORUM	Diamonds, Coloured Gemstones and Precious Metals Miner
AUDIT DATE	29 September 2021
AUDIT TYPE	Re-certification
APPLICABLE STANDARD	Code of Practices 2019
ACCREDITED AUDIT FIRM	SGS United Kingdom Limited
APPLICABLE PROVISIONS	<ol style="list-style-type: none">1. General requirements: 1-42. Responsible supply chains, human rights and due diligence: 5-14, except 7.3, 93. Labour rights and working conditions: 15-22, except 19.34. Health, Safety and Environment: 23-27, except 23.105. Gold, Silver, PGM, diamond and coloured gemstone products: 28-30, except 28.2i6. Responsible mining: 31-42, except 35, 40, 41

PROVENANCE CLAIMS

De Beers Global Sightholder Sales, Namibia Diamond Trading Company and De Beers Sightholder Sales South Africa only sell rough diamonds that originate from De Beers' managed and joint venture operations in Botswana, Canada, Namibia and South Africa from mines that are certified against the Responsible Jewellery Council standards and compliant with the Best Practice Principles.

Rough Diamonds sold by De Beers Auction Sales are sourced either from De Beers' managed and joint venture operations or approved third party producers/suppliers in accordance with the De Beers Responsible and Ethical Sourcing Policy.

A Forevermark diamond is sourced only from a handful of carefully selected mines, ensuring that every diamond bearing the Forevermark inscription is responsibly sourced. The Forevermark responsible sourcing standards apply throughout the entire journey of a Forevermark diamond, and include a track and trace process through the bespoke Forevermark Pipeline Integrity Standard, to ensure Forevermark diamonds are responsibly manufactured. Diamonds with the De Beers Code of Origin originate from De Beers' managed and joint venture mines in Botswana, Canada, Namibia and South Africa.

AUDITOR STATEMENT OF CONFORMANCE

Based on the scope and findings of the certification audit, the member has demonstrated a conformance level consistent with a:

3 Year Certification

NEXT AUDIT TYPE

MID-TERM REVIEW (within 12-24 months):

- A. Mid-term review NOT required due to:
- No anticipated changes to the certification scope during the certification period
- 3 or less minor non-conformances with critical provisions or in general identified by the audit (not covered by parallel audits for schemes identified as equivalent to RJC's) for an individual member in the mining sector or a non-mining sector member
- <25 full time equivalent personnel
- Low risk of non-conformances due to management controls
- Existence of parallel audits for schemes recognised by RJC
- Strong internal controls ensuring effective review and closure of corrective actions for non-conformances

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- B. Mid-term review (desktop only) required due to:
 - No impact on conformance levels from any changes to the certification scope
 - 5 or less minor non-conformances with critical provisions or in general identified by the audit (not covered by parallel audits for schemes identified as equivalent to RJC's) for an individual member in the mining sector or a non-mining sector member
 - Possibility of remote verification of actions
 - No risk to critical provisions

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- C. Mid-term review (with a site visit) required due to:
 - Known and anticipated changes to the certification scope during the certification period
 - Member no longer supporting external standards and initiatives recognised by RJC
 - 6 or more minor non-conformances with critical provisions or in general identified by the audit (not covered by parallel audits for schemes identified as equivalent to RJC's) for an individual member in the mining sector or a non-mining sector member
 - New systems and controls for critical provisions that could not be tested at the certification audit
 - Need to test new systems and controls ensuring respect for critical provisions
 - Facilities with risk of non-conformances with critical provisions
 - Other reasons presented by the lead auditor necessitating an onsite verification

CERTIFICATION SCOPE

<u>Business name of entity/facility</u>	<u>Location (City and Country)</u>	<u>Business Activity</u>
De Beers - Namdeb Diamond Corporation (Pty) Ltd	Windhoek, Namibia; 30km South of Luderitz, Namibia (Northern Coastal Mines); 100km North of Oranjemund, Namibia (Southern Coastal Mines and Orange River Mines)	Exploration, Mining and Rehabilitation operations
De Beers UK Limited	London, UK (DBUK, CCAP and E-Commerce), Maidenhead, UK; Antwerp, Belgium; Surat, India (Institute of Diamonds)	CHQ, Diamond Rough/Polished Sales/Buying office, E-Commerce; Grading, Research (Diamonds), Financial Office
De Beers Auction Sales	Hong Kong; Antwerp, Belgium; Ramat Gan, Israel; Singapore	Diamond Rough Sales/Buying Office
De Beers Global Sightholder Sales (Pty) Ltd	Gaborone, Botswana; Dubai, UAE	Trading and Marketing (Diamond Rough Sales)
De Beers Marine Namibia (Pty) Ltd	Windhoek, Namibia (Marine Diamond Recovery)	Marine Mining Operations
De Beers Jewellers	Global	CHQ, Jewellery retail, Financial and administrative offices
De Beers Canada Inc.	Toronto, Canada; Ontario, Canada (Victor Mine); Calgary, Alberta, Yellowknife, Canada (Gahcho Kue Mine)	Mining, Financial and Administration Office
De Beers - Debswana Diamond Company (Pty) Ltd	100km West of Gaborone, Botswana (Jwaneng Mine); Orapa, Botswana (Orapa, Letlakane and Da'vmtshaa Mines)	Mining
De Beers Consolidated Mines - CHQ	Johannesburg, South Africa; Musina, Limpopo Province, South Africa; Kimberley, South Africa (Venetia Mine); Kroonstad, South Africa (Voorspoed Mine)	CHQ, Trading and Marketing, Mining
De Beers Group Exploration	Johannesburg, South Africa; Gaborone, Botswana; Mumbai, India; Luanda, Angola	Exploration, financial and administration offices
De Beers - Forevermark	Global	Jewellery retail stores, grading service, stone setting, marketing
De Beers Group Services: Global Mining	Cape Town, South Africa	Exploration, CHQ
De Beers Group Services: General	Johannesburg, Port Nolloth, Cape Town, Germiston, South Africa	Holding Companies, Supply Chain, Financial and Administration Office
De Beers Plc	Global	Holding Companies
Gemfair	London, UK ; Freetown, Sierra Leone	Artisanal Rough Sales/Buying Office (diamonds), CHQ, Financial and administrative offices (diamonds)
Namibia Diamond Trading Pty (Ltd)	Windhoek, Namibia	Diamond Rough Sales

ABOUT THIS CERTIFICATION

This certification is issued by the Responsible Jewellery Council in accordance with its standards and supporting documentation, available on the [RJC website](#).

This certificate can be authenticated on the [RJC website](#). Please contact certification@responsiblejewellery.com with any questions.

The RJC aims to ensure the fair, timely and objective resolution of complaints relating to RJC certification. The complaints mechanism and contact details can be accessed on the [RJC website](#).

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