



RESPONSIBLE
JEWELLERY
COUNCIL

LEAD WITH PURPOSE . INSPIRE WITH TRUST
CONTRIBUTE TO DEVELOPMENT

BY THE AUTHORITY OF THE COUNCIL

Gubelin Jewellery

IS A CERTIFIED MEMBER OF
THE RESPONSIBLE JEWELLERY COUNCIL

NUMBER: 0000 4026
DURATION: 3 Year
PERIOD: 25 May 2022 - 25 May 2025
STANDARD: Code of Practices 2019

DAVID BOUFFARD
CHAIRMAN

AYGUN KAZIMOVA
SENIOR CERTIFICATION MANAGER



CERTIFICATION OVERVIEW

MEMBERSHIP FORUM	Jewellery and Watch Manufacturer and/or Wholesaler
AUDIT DATE	23 November 2021
AUDIT TYPE	First Certification
APPLICABLE STANDARD	Code of Practices 2019
ACCREDITED AUDIT FIRM	SGS United Kingdom Limited
APPLICABLE PROVISIONS	<ol style="list-style-type: none">1. General requirements: 1-4, except 3.22. Responsible supply chains, human rights and due diligence: 5-14, except 7.3a-b, 8, 9, 11.3, 13.2-4, 14.33. Labour rights and working conditions: 15-22, except 19.3, 21.34. Health, Safety and Environment: 23-27, except 23.10, 27.45. Gold, Silver, PGM, diamond and coloured gemstone products: 28-30, except 28.2d-g, 28.2j, 29.2, 306. Responsible mining: Not applicable

PROVENANCE
CLAIMS

Burmese Gemstone Enhanced Due Diligence (from Product Disclosure policy release date: Feb 2022, website release April 2022)

Gübelin Jewellery has decided to suspend purchasing gemstones exported from Myanmar from February 2021 until we feel secure that our purchases align with our ethical sourcing policy. We have Enhanced Due Diligence Procedures in place to ensure that any stones we purchase originating from Myanmar are stated on a GGL report. The supplier must provide us with dated invoices, dated lab reports or dated export/import documentation and written declarations stating that the stones have been exported prior to February 2021.

Dancing Dunes Necklace (in the product disclosure, release date : end of April 2022)

The dancing dunes necklace is constructed using 100% recycled gold, validated by supplier statements, warranties and who have RJC certified provenance claims. All of the emeralds and the Gübelin iconic ruby in the dancing dunes necklace can be traced back to the mine of origin by Gübelin's own blockchain including: certificates of provenance, Gübelin gemmological laboratory reports and/or the emerald paternity test. This exquisite jewellery creation illustrates supply chain transparency and the systems supporting it.

AUDITOR STATEMENT OF
CONFORMANCE

Based on the scope and findings of the certification audit, the member has demonstrated a conformance level consistent with a:

3 Year Certification

NEXT AUDIT TYPE

MID-TERM REVIEW (within 12-24 months):

- A. Mid-term review NOT required due to:**
- No anticipated changes to the certification scope during the certification period
- 3 or less minor non-conformances with critical provisions or in general identified by the audit (not covered by parallel audits for schemes identified as equivalent to RJC's) for an individual member in the mining sector or a non-mining sector member
- <25 full time equivalent personnel
- Low risk of non-conformances due to management controls
- Existence of parallel audits for schemes recognised by RJC
- Strong internal controls ensuring effective review and closure of corrective actions for non-conformances

-
- B. Mid-term review (desktop only) required due to:**
 - No impact on conformance levels from any changes to the certification scope
 - 5 or less minor non-conformances with critical provisions or in general identified by the audit (not covered by parallel audits for schemes identified as equivalent to RJC's) for an individual member in the mining sector or a non-mining sector member

-
- Possibility of remote verification of actions
 - No risk to critical provisions
-

-
- C. Mid-term review (with a site visit) required due to:
 - Known and anticipated changes to the certification scope during the certification period
 - Member no longer supporting external standards and initiatives recognised by RJC
 - 6 or more minor non-conformances with critical provisions or in general identified by the audit (not covered by parallel audits for schemes identified as equivalent to RJC's) for an individual member in the mining sector or a non-mining sector member
 - New systems and controls for critical provisions that could not be tested at the certification audit
 - Need to test new systems and controls ensuring respect for critical provisions
 - Facilities with risk of non-conformances with critical provisions
 - Other reasons presented by the lead auditor necessitating an onsite verification

CERTIFICATION SCOPE

Business name of entity/facility
Gübelin Jewellery

Location (City and Country)
Luzern, Switzerland

Business Activity
Gold, precious metals, coloured gemstones, diamonds-trading, jewellery manufacturing

ABOUT THIS CERTIFICATION

This certification is issued by the Responsible Jewellery Council in accordance with its standards and supporting documentation, available on the [RJC website](#).

This certificate can be authenticated on the [RJC website](#). Please contact certification@responsiblejewellery.com with any questions.

The RJC aims to ensure the fair, timely and objective resolution of complaints relating to RJC certification. The complaints mechanism and contact details can be accessed on the [RJC website](#).

DISCLAIMER

No guarantee, warranty or representation is made by the RJC as to the accuracy or completeness of the information in this COP certificate, and no advice or information, whether oral or written, obtained from the RJC or elsewhere, will create any warranty, guarantee or representation in respect of this COP certificate. Compliance with RJC's standards and procedures is neither intended to, nor does it create, establish, or recognise any legally enforceable obligations or rights of, or against, the RJC and/or its members or signatories. To the fullest extent permitted by law, the RJC does not accept or assume responsibility to anyone for the audit process, for the member, for the entity, for the auditor, for COP certification, or for the decision to grant COP certification.

The Responsible Jewellery Council is the trading name of the Council for Responsible Jewellery Practices Ltd. The Council for Responsible Jewellery Practices Ltd, Quality House, 5-9 Quality Court, London, WC2A 1HP, UK. The Council for Responsible Jewellery Practices Ltd is registered in England and Wales with company number 05449042.