



BY THE AUTHORITY OF THE COUNCIL

Jewelex New York Ltd

IS A CERTIFIED MEMBER OF THE
Responsible Jewellery Council

CERTIFIED MEMBER: 0000 I543

CERTIFICATION PERIOD: 21 OCTOBER 2018 – 21 OCTOBER 2021

A handwritten signature in black ink, appearing to read "David Bouffard".

DAVID BOUFFARD

Chairman

Responsible Jewellery Council

A handwritten signature in black ink, appearing to read "Andrew Bone".

ANDREW BONE

Executive Director

Responsible Jewellery Council

Certification Scope

Jewelex New York Ltd., New York, USA - Trading of Polished Diamond & Diamond studded Jewellery.

RJC CERTIFICATION INFORMATION CODE OF PRACTICES

Summary



Responsible
Jewellery
Council

| | |
|--------------------------------|---|
| <i>Certified member</i> | Jewelex New York Ltd |
| <i>Membership forum</i> | Jewellery Manufacturer and/or Wholesaler |
| <i>Certification number</i> | 0000 1543 |
| <i>Certification period</i> | 21 October 2018 – 21 October 2021 |
| <i>Audit date</i> | 14-15 January 2019 |
| <i>Audit type</i> | Re-Certification |
| <i>Previous certifications</i> | 2 |
| <i>Applicable standard</i> | Code of Practices 2013 |
| <i>Accredited auditor</i> | Bureau Veritas Italia Lead Auditor: Gibson Lemos |
| <i>Certification scope</i> | Jewelex New York Ltd., New York, USA - Trading of Polished Diamond & Diamond studded Jewellery. |

Applicable provisions

- 1. General requirements:** 1-4, except 3.2
- 2. Responsible supply chains and human rights:** 5-12, except 6.2, 7.1, 9.3 & 11.3-4
- 3. Labour rights and working conditions:** 13-20, except 19.3
- 4. Health, safety and environment:** 21-25, except 21.10 & 23
- 5. Diamonds, gold and platinum group metals products:** 26-28, except 27.2 & 28
- 6. Responsible mining sector:** Not applicable

Provenance claims

Jewelex New York Ltd. warrants that the products containing gold are conflict free. Jewelex New York Ltd. also warrants that any products containing the platinum group metals are in compliance with the LPPM norms.

The seller warrants that the gold has been supplied in compliance with the Signet Responsible Sourcing Protocols ("SRSPs"). The seller warrants that the platinum has been supplied in compliance with the Signet Responsible Sourcing Protocols ("SRSPs").

Jewelex New York Ltd. states that the diamonds herein invoiced are exclusively of natural origin and untreated based on personal knowledge and/or written guarantees provided by the supplier of these diamonds. As per the implemented policies and procedures Jewelex New York Ltd., test the diamond in the diamond testing technology for the surety of the natural diamonds and to check that the diamonds are not synthetic.

The seller warrants that the diamonds have been supplied in compliance with the Signet Responsible Sourcing Protocols ("SRSPs").

Auditor statement of conformance

Based on the scope and findings of the audit, the sites visited and the available information provided by the member:

- ☒ The member has demonstrated a conformance level consistent with a 3 year certification period.
- ☐ The member has demonstrated a conformance level consistent with the transitional 1 year certification period for 1 year.
- ☐ The member is not eligible for RJC certification due to having four consecutive 1 year certification outcomes.
- ☐ Critical breaches have been identified and the RJC management team should initiate disciplinary procedures.

Mid-term review

Based on the scope and findings of the certification audit/re-certification audit, the sites visited and the available information provided by the member:



A. The member will not require a mid-term review because:



No anticipated changes to the certification scope during the certification period.



Member has < 25 full time equivalent personnel.



Low risks of changes to current conformance levels and strong management controls.



B. The member will require a desktop mid-term review during the 3 year certification period to review progress on corrective action against non-conformances within 12-24 months, but a site visit is not required because:



The actions can be verified remotely; or



No risk to critical provisions; or



There are parallel audit programs for equivalent recognised schemes (e.g. De Beers BPP, SA8000, OHSAS18001, ISO14001)



C. The member will require a mid-term review during the 3 year certification period that will include the need for site visits because:



Known and anticipated changes to certification scope during the certification period



Certification audit identified >2 minor NCs for critical provisions; or > 4 minor NCs in total



New systems and controls for critical provisions that could not be tested at the certification audit







Facilities with risk of non-conformances against critical provisions



Other compelling reason presented by the lead auditor.

Confirmation

The auditors confirm that:

-  The information provided by the member is true and accurate to the best knowledge of the auditor(s) preparing this report.
-  The findings are based on verified objective evidence relevant to the time period for the assessment, traceable and unambiguous.
-  The scope of the assessment and the method used are sufficient to establish confidence that the findings are indicative of the performance of the member's defined certification scope.
-  The auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

Auditor signature

On file

Code of Practices certification

This certification is issued in accordance with RJC's Assessment Manual. The standard, supporting documentation, and assessment manual, can be downloaded at: www.responsiblejewellery.com/rjc-certification/code-of-practices-certification13-2/

This certificate can be authenticated by visiting: www.responsiblejewellery.com/members/certified-members/

If you have any questions about RJC certifications, please contact: certification@responsiblejewellery.com

The RJC aims to ensure the fair, timely and objective resolution of complaints relating to potential non-conformance with RJC certification or policies and procedures. The complaints mechanism and contact details can be accessed downloaded from: www.responsiblejewellery.com/contact-us/rjc-complaints-mechanism/

Disclaimer

No guarantee, warranty or representation is made by the RJC as to the accuracy or completeness of the information in this COP certificate, and no advice or information, whether oral or written, obtained from the RJC or elsewhere, will create any warranty, guarantee or representation in respect of this COP certificate. Compliance with RJC's standards and procedures is neither intended to, nor does it create, establish, or recognise any legally enforceable obligations or rights of, or against, the RJC and/or its members or signatories. To the fullest extent permitted by law, the RJC does not accept or assume responsibility to anyone for the audit process, for the member, for the entity, for the auditor, for COP certification, or for the decision to grant COP certification.