



BY THE AUTHORITY OF THE COUNCIL

Kennecott Utah Copper LLC

IS CHAIN-OF-CUSTODY CERTIFIED BY THE
Responsible Jewellery Council

CERTIFICATION NUMBER: C0000 0171
CERTIFICATION PERIOD: 05 APRIL 2020 – 05 APRIL 2023
CHAIN-OF-CUSTODY STANDARD VERSION: 2017
TYPES OF MATERIAL IN SCOPE: GOLD
ELIGIBLE MATERIAL DECELERATIONS IN SCOPE: GOLD MINING BY PRODUCT

A handwritten signature in black ink, appearing to read "David Bouffard".

DAVID BOUFFARD
*Chairman
Responsible Jewellery Council*

A handwritten signature in black ink, appearing to read "Iris van der Veken".

IRIS VAN DER VEKEN
*Executive Director
Responsible Jewellery Council*

RJC CERTIFICATION INFORMATION CHAIN-OF-CUSTODY

Summary



CoC certified entity

Kennecott Utah Copper LLC

RJC member which controls entity

Kennecott Utah Copper LLC (Rio Tinto Subsidiary)

Certification number

C0000 0171

Chain-of-Custody Standard version

2017

Certification period

05 April 2020 – 05 April 2023

Audit date

4-5 March 2020

Accredited auditor

RCS Global Ltd
Lead Auditor: Nicholas Garrett

Entity certification scope

Kennecott Utah Copper Refinery, Magna, USA - Refinery; Rio Tinto Commercial Hub, Chicago, USA - Precious metals sales and marketing; Rio Tinto Regional Center (RTRC), South Jordan, USA - Support and service office

Types of material in scope

Gold

*Eligible material
decelerations in scope*

Gold Mining By Product

*Changes during the
certification period*

Not applicable

Applicable provisions

Due diligence and KYC for responsible sourcing: 1-2

Chain-of-custody management: 3-5, except 5.2

Systems to confirm eligibility of materials: 6-8, except 6.1a-d, 6.2, 6.3a-b, 7, 8

Issuing of chain-of-custody documentation: 9-11, except 9.3, 11.1-3

*Auditor statement
of conformance*

Based on the scope and findings of the audit, the sites visited and the available information provided by the entity:



The entity has demonstrated a conformance level consistent with a 3 year certification period.

Confirmation

The auditors confirm that:



The information provided by the entity is true and accurate to the best knowledge of the auditor(s) preparing this report.



The findings are based on verified objective evidence relevant to the time period for the assessment, traceable and unambiguous.



The scope of the assessment and the method used are sufficient to establish confidence that the findings are indicative of the performance of the entity's defined certification scope.



The auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

Chain-of-Custody Certification

This certification is issued in accordance with RJC's Assessment Manual. The standard, supporting documentation, and assessment manual, can be downloaded at: <https://www.responsiblejewellery.com/chain-of-custody-certification/chain-of-custody-certification-2017/>

This certificate can be authenticated by visiting: <https://www.responsiblejewellery.com/members/chain-of-custody-certified-entities/>

If you have any questions about RJC certifications, please contact: certification@responsiblejewellery.com

The RJC aims to ensure the fair, timely and objective resolution of complaints relating to potential non-conformance with RJC certification or policies and procedures. The complaints mechanism and contact details can be accessed downloaded from: <http://www.responsiblejewellery.com/contact-us/rjc-complaints-mechanism/>

Disclaimer

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