# Tool 2.3: Information checklist: Additional Information for red-flag supply chains

**This information is for upstream companies to gather and share with buyers.**

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| 1. The identity of all upstream suppliers and relevant service providers from the origin of precious metals   To be collected at a frequency which ensures you have up to date information on the circumstances of your red-flagged supplies. Update every time circumstances change (e.g. if ownership, corporate structure or transportation routes change). You do not need to collect this information each time you purchase precious metals when they are coming from the same supplier.  Documentation on the following:   * All upstream suppliers and service providers from the original source of production or refiner. * The beneficial owners / ultimate beneficial owners of, individuals authorised to represent (power of attorney) all upstream suppliers and service providers (if applicable). * Records showing the corporate structure of all upstream suppliers and service providers. * Records of checks of any affiliation of the upstream suppliers or service providers with government, political parties, military, criminal networks or non-state armed groups. |  |
| 1. Security, political and social context of the CAHRA   To be updated as part of your ongoing information gathering programme, e.g. when new reports are released.  Document examples (not all required):   * NGO reports * UN reports * Governance assessments * Media reports |  |
| 1. Further information on the source of extracted precious metals from red flag supply chains   To be collected at a frequency which ensures you have up to date information (examples provided below)  Document examples (not all required):   * Traceability / chain of custody reports from mine of origin * Mine / refiner production records * Geological surveys (to verify that the source of production is plausible) * On-the-ground assessment reports * Incident reports |  |
| 1. All taxes, royalties, fees and other payments made to government   Document examples (not all required):   * Payment invoices and records (this also applies to ASM production) * Official documentation from national / local tax authorities |  |
| 1. All payments made to public or private security forces or other armed groups   To be collected at a frequency which ensures you have up to date information (update if circumstances change and at least annually)  Document examples (not all required):   * Payment invoices and records * Contracts with public or private security forces |  |