



RESPONSIBLE
JEWELLERY
COUNCIL

LEAD WITH PURPOSE . INSPIRE WITH TRUST
CONTRIBUTE TO DEVELOPMENT

BY THE AUTHORITY OF THE COUNCIL

ALROSA GROUP

IS A CERTIFIED MEMBER OF
THE RESPONSIBLE JEWELLERY COUNCIL

NUMBER: 0000 2106
DURATION: 3 Year
PERIOD: 07 December 2020 - 07 December 2023
STANDARD: Code of Practices 2019

DAVID BOUFFARD
CHAIRMAN

IRIS VAN DER VEKEN
EXECUTIVE DIRECTOR



CODE
OF
PRACTICES



CERTIFICATION OVERVIEW

MEMBERSHIP FORUM

Diamond and/or Gold and/or Platinum Producer

AUDIT DATE

9,10, 14, 16-18, 21 September 2020; 6, 20-23, 26-30 October 2020;
2-3, 5, 10-12, 13, 16-18, 20, 30 November 2020

AUDIT TYPE

Re-Certification

APPLICABLE STANDARD

Code of Practices 2019

ACCREDITED AUDIT FIRM

PwC Audit Russia

CERTIFICATION SCOPE

Aikhal Mining and Processing Division, Aikhal, Mirny Mining & Processing Division, Mirny, Udachny Mining & Processing Division, Udachny, Nyurba Mining & Processing Division, Nakyn (Republic of Sakha (Yakutia)), Russia - Diamond mines & processing; Diamond Sorting Center, Mirny (Republic of Sakha (Yakutia)), Russia - Diamond sorting and valuation; The United Selling Organization (USO) of ALROSA, Moscow, The Yakutsk Diamond Trading Enterprise (YaPTA), Yakutsk (Republic of Sakha (Yakutia)), Russia - Diamond sorting, valuation & sales; Kommeral Company, Mirny (Republic of Sakha (Yakutia)), Russia - Industrial diamond sorting, valuation & sales; DIAMONDS ALROSA Division, Moscow, Russia - Diamond cutting, polishing & sales; Branch in Vladivostok and Angola, Vladivostok, Russia, Luana, Angola - Representation offices; Mirny & Moscow HQs, Mirny, Moscow, Russia - HQ; Vilyuiskaya Exploration Expedition, Mirny, Russia - Exploration division; JSC Almazy Anabara, Yakutsk, Russia - Diamond mining & processing; PJSC Severalmaz, various locations, Russia - Diamond exploration, mining & processing, sorting, valuation; Lomonosov Mining & Processing Division, Arkhangelsk region, Russia - Diamond mining & processing; Diamond Sorting Center, Arkhangelsk, Russia - Diamond sorting & valuation; DIAMONDS ALROSA LLC, Barnaul, Russia - Diamond cutting and polishing; ALROSA BELGIUM NV, Antwerp, Belgium, ALROSA Israel Ltd, Rmat Gan, Israel, ALROSA Hong Kong Limited, Hong Kong, China, ALROSA East DMCC, Dubai, UAE, ALROSA USA, Inc., New York, USA - Trading subsidiaries; Joint-Stock Company «Production Corporation «Kristall», Smolensk, Russia - Diamond cutting & polishing; ALROSA (ZIMBABWE) Limited, Harare, Zimbabwe, LLC ALROSA-Spetsbureniye (ex. Mirny Drilling Expedition), Mirny, Russia - Diamond explorations; LLC ALROSA-Okhrona, Mirny, Russia - Security services.

APPLICABLE PROVISIONS

1. **General requirements:** 1-4
2. **Responsible supply chains and human rights:** 5-14, except 7.1a, 7.3a-b, 8, 9, 11.3, 13.4
3. **Labour rights and working conditions:** 15-22, except 19.1-2, 21.3
4. **Health, safety and environment:** 23-27, except 23.10
5. **Diamonds, gold and platinum group metal products:** 28-30, except 28.2a-g, i, j, 28.3, 30
6. **Responsible mining sector:** 35, 38.5, 39.3-4, 40, 41

PROVENANCE
CLAIMS

ALROSA sources diamonds from Russia in the regions of Yakutia and Arkhangelsk. Customers are offered specific information on the origin of diamonds based on the extracting entity within the Group of companies.

AUDITOR STATEMENT
OF CONFORMANCE

Based on the scope and findings of the certification audit, the member has demonstrated a conformance level consistent with a:

3 Year Certification

NEXT AUDIT TYPE

MID-TERM REVIEW (within 12-24 months):

- A. Mid-term review NOT required due to:**
 - No anticipated changes to the certification scope during the certification period
 - <25 full time equivalent personnel
 - Low risk of non-conformances due to management controls
 - Existence of parallel audits for schemes recognised by RJC
 - Existence of rigorous internal audit programs
 - Strong internal controls ensuring effective review and closure of corrective actions for non-conformances
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- B. Mid-term review (desktop only) required due to:**
 - No impact on conformance levels from any changes to the certification scope
 - 2 or less minor non-conformances with critical provisions or 4 or less minor non-conformances in general identified by the audit (not covered by parallel audits for schemes identified as equivalent to RJC's) for an individual member in the mining sector or a non-mining sector member
 - Possibility of remote verification of actions
 - No risk to critical provisions

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- C. Mid-term review (with a site visit) required due to:**
 - Known and anticipated changes to the certification scope during the certification period
 - Member no longer supporting external standards and initiatives recognised by RJC
 - 3 or more minor non-conformances with critical provisions or 5 or more minor non-conformances not covered by parallel audits for schemes identified as equivalent to RJC's for any non-mining sector member or individual mining facility, if the member is in the mining sector
 - New systems and controls for critical provisions that could not be tested at the certification audit
 - Facilities with risk of non-conformances with critical provisions
 - Other reasons presented by the lead auditor necessitating an onsite verification

ABOUT THIS CERTIFICATION

This certification is issued by the Responsible Jewellery Council in accordance with its standards and supporting documentation, available on the [RJC website](#).

This certificate can be authenticated on the [RJC website](#). Please contact certification@responsiblejewellery.com with any questions.

The RJC aims to ensure the fair, timely and objective resolution of complaints relating to RJC certification. The complaints mechanism and contact details can be accessed on the [RJC website](#).

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